

## AUDIT RECOMMENDATIONS REGISTER SUMMARY - APPENDIX 1

| Audit Report   | Date of Issue | References | Recommendations / Agreed Management Actions |           |             |                        | Priority of O/S Items |            |          |
|--|---------------|------------|---|-----------|-------------|------------------------|-----------------------|------------|----------|
|  |               |            | Total                                       | Complete  | Outstanding | O/S & Past Target Date | Hi (1)                | Medium (2) | Low (3)  |
| PAYMENTS, PAY & INCOME   | APRIL 2011    | 1          | 1   | -         | 1           | 1                      | -                     | -          | 1        |
| GOVERNANCE & ANTI-FRAUD  | JULY 2011     | 2 to 3     | 2   | 2         | -           | -                      | -                     | -          | -        |
| CONTRACT MANAGEMENT AND CONTRACTOR VIABILITY   | NOVEMBER 2011 | 4          | 1   | -         | 1           | 1                      | 1                     | -          | -        |
| PAYROLL  | FEBRUARY 2012 | 5 to 8     | 4   | 1         | 3           | 3                      | -                     | 3          | -        |
| DISASTER RECOVERY & CP   | JUNE 2012     | 9 to 15    | 7   | 1         | 6           | 6                      | -                     | 6          | -        |
| SOs AND PROCUREMENT  | OCTOBER 2012  | 16         | 1   | 1         | -           | -                      | -                     | -          | -        |
| TRA GRANTS   | OCTOBER 2012  | 17 to 22   | 6   | 4         | 2           | 2                      | -                     | 2          | -        |
| <b>LATEST AUDIT REPORTS AND RECOMMENDATIONS (PRESENTED AT JANUARY AUDIT COMMITTEE)</b> |               |            |   |           |             |                        |                       |            |          |
| CASH COLLECTION  | JANUARY 2013  | 23 to 26   | 5   | 5         | -           | -                      | -                     | -          | -        |
| RISK MANAGMENT   | JANUARY 2013  | 28 to 31   | 4   | 1         | 3           | -                      | -                     | 3          | -        |
|  |               |            |   |           |             |                        |                       |            |          |
| <b>TOTALS</b>  |               |            | <b>31</b>                                   | <b>15</b> | <b>16</b>   | <b>13</b>              | <b>1</b>              | <b>14</b>  | <b>1</b> |